

Corporate and Securities

The Corporate and Securities Group provides strategic solutions to the complex legal and business issues facing clients while helping them achieve their business objectives. Clients range from entrepreneurs seeking counsel on selecting the correct business form and raising capital, to established companies looking to grow through acquisitions and form strategic alliances, to private equity firms requiring assistance in the acquisition or divestiture of portfolio companies. We advise clients on transactional, regulatory and compliance issues, as well as representation in litigation and enforcement matters, and provide advice to publicly traded companies and their boards of directors on Sarbanes-Oxley, Dodd-Frank and other corporate governance matters. Our experienced and multidisciplinary team is focused on providing practical, business-oriented advice in an efficient and cost-effective manner.

We assist clients in selecting the proper legal entity in which to conduct business and draft the necessary documentation, including corporate formation documents, limited liability company operating agreements, limited partnership or general partnership agreements and shareholder agreements. We are adept in negotiating, drafting and reviewing agreements of all types, including employment, distribution, manufacturing and licensing across multiple industries. We assist clients with transactions that provide access to debt and equity capital through the sale of stock, partnership or limited liability company interests, or through the issuance of debt to institutional lenders. Our clients rely on us to provide strategic counsel in negotiations and to advise them with respect to reviewing, negotiating and closing commercial loan transactions. When our clients seek opportunities to expand, whether through partnership or strategic alliance or by making strategic acquisitions, we provide the legal guidance and draft and negotiate the necessary documentation to facilitate these transactions. We also assist our clients with understanding and complying with the myriad federal, state and local regulations that apply to their businesses.

Although we are often the first point of contact for our clients, we draw on the experience of the lawyers in our varied practice groups to best serve our clients' needs. We collaborate with members of the International, Labor and Employment, Tax and Estates, Bankruptcy and Creditors Rights, Real Estate, Finance, Intellectual Property, and Commercial Litigation groups to provide seamless solutions to our clients' issues and help them achieve their business objectives.

Mergers, Acquisitions and Strategic Transactions

Whether it be a private equity company seeking to add a portfolio company or an operating business whose expansion plans require a specific acquisition, we assist in structuring, documenting, negotiating and closing asset or stock

PRACTICE CONTACT

Bruce W. MacLennan, Chair
212.714.3060
maclennanb@whiteandwilliams.com

RELATED PRACTICES

Commercial Litigation
Finance
Financial Restructuring and Bankruptcy
Intellectual Property
International
Labor and Employment
Real Estate
Trusts and Estates

RELATED INDUSTRIES

Financial & Investment Services
Healthcare
Insurance
Technology

PRACTICE HIGHLIGHTS

- Develop strategic solutions to complex legal and business issues
- Serve as trusted advisors to in-house counsel
- Advise clients ranging from start-ups to small businesses to international corporations



purchases and mergers. We help management or other sponsors in buy-out transactions, including structuring the acquisition terms and creating the debt and equity structure as needed, to successfully conclude these leveraged transactions. Clients seeking to expand resources turn to us for help in forming domestic, as well as international, commercial relationships, including joint ventures, partnerships and strategic alliances. We have extensive experience in the process of exiting investments for both the private equity investor seeking to monetize a portfolio company, to a closely held business whose ownership has decided that it is time to sell. We help structure, negotiate and close exit transactions in a manner to reduce or eliminate residual risk of entity ownership.

Equity and Debt Financing

When initial or additional operating capital is needed by our clients, we structure and document private placements, counsel our clients regarding their debt and equity financings, and assist them with their commercial loans, including negotiating favorable terms and conditions and advising on securities law compliance at the federal and state levels.

Formation and Governance

We represent individual entrepreneurs and private equity funds in entity formation and ongoing governance issues. After listening to and critically evaluating our clients' business plans and short term and long term objectives, we work to develop the optimal legal structure for their business based on consideration of liability, tax and securities law issues. Whether the preferred structure is a corporation, limited liability company, limited partnership or general partnership, we craft the formation documents, operating agreements, shareholder agreements, partnership agreements or bylaws to suit the unique issues presented by our clients' business plans. We are particularly attuned to issues arising from minority interests in companies and have broad expertise in negotiating investor rights agreements from the perspective of both the company and the minority investor.

Regulatory and Compliance

We provide legal advice in areas governed by state and federal securities laws including:

- SEC reporting and compliance for publicly held companies and their officers, directors and shareholders, including annual, quarterly and other periodic reports (Forms 10-K, 10-Q and 8-K) and securities ownership reports (Forms 3, 4 and 5; Schedules 13D, 13F and 13G)
- NYSE and NASDAQ company listing and governance matters
- Broker-dealer and investment-adviser organization and compliance
- SEC, state and stock exchange inspections

Litigation and Enforcement

We represent companies, officers and directors in a broad range of regulatory proceedings and litigation. We have substantial experience representing parties involved in individual and class actions brought by private litigants in SEC and FINRA investigations and enforcement proceedings, and in arbitration proceedings resulting from customer-broker and broker-broker disputes. These proceedings involve a wide range of subject matter, including market manipulation, securities fraud, RICO claims, registration issues and SRO compliance matters.

Our litigation team has extensive experience handling the procedural and substantive issues arising out of large securities cases including defenses available under the Private Securities Litigation Reform Act, the Securities Litigation Uniform Standards Act and substantive defenses pertaining to loss causation and preemption. Our clients value cost-effective, partner-level attention on complex litigation matters such as these.

REPRESENTATIVE MATTERS

Represented the strategic investment arm of a health insurance company with respect to its participation in a \$33 million Series B financing round by Pager, Inc.

Represented a regional, nationally-affiliated health insurance company with respect to its participation, along with other health insurers, in a joint venture with a non-profit generic pharmaceutical company, helping to reduce the costs of certain generic drugs for participating plan members and other consumers

Represented a data analytics company that provides proprietary consumer intelligence to major luxury brands in its sale to a global communications agency.

Represented the strategic investment arm of a life and disability insurer in connection with its investment in a healthcare IT company that leverages clinical diagnostic data and AI to develop advanced analytics solutions for the healthcare, pharmaceutical and life science markets

Advised early stage company obtaining Series A round financing from a group of private equity investors, including drafting and negotiation of investor rights agreement and advised and negotiated subsequent additional rounds of bridge financing from private equity investors

Represented a European-based pharmaceutical manufacturer, development and distribution company in the sale of worldwide distribution rights to a line of products; representation included drafting and negotiating an asset purchase agreement, a commercialization, development and license agreement and related manufacturing and supply agreements

Represented a financially distressed multinational tier two supplier in the automotive industry in a series of transactions involving an asset sale transaction, a stock sale transaction to another tier two competitor, and an asset sale to a tier one supplier

Advised in the structuring and formation of a Delaware nonprofit corporation organized as a risk purchasing group for a national insurance brokerage

Advised and drafted corporate governance documents, including bylaws and standing resolutions, for middle market company following the death of the founder and president

Advised real estate investment entity regarding structuring, including multiple levels of ownership, and drafting limited liability company operating agreement

Advised nonprofit corporation regarding governance issues and revised corporate governance documents including articles of incorporation and bylaws consistent with succession planning following the retirement of founder

Advised client regarding formation and structuring of limited liability company to be owned by the senior and junior loan participants in real estate transferred to the limited liability company by deed in lieu of foreclosure on a mortgage loan

Advised life insurance company in sale of a senior note secured by real estate portfolio

Represented a foreign manufacturer of pharmaceutical products and medical devices in the domestic license and distribution of its products to a U.S. based pharmaceutical company

Represented founder of specialty pharmaceutical company in connection with bridge loan financing from existing private equity investors

Represented a privately held U.S. based pharmaceutical manufacturing and development company in obtaining private equity financing from U.S. and European based private equity firms

Defense of securities fraud class actions brought against companies including a leading bio-pharma company and a mid-sized software firm and a regional financial institution

Defense of a research firm in two highly publicized securities cases involving allegations of market manipulation against investment advisors, hedge funds, financial institutions and other professionals

Represented a public electronic components manufacturer in its sale through a tax free exchange with another public company

Defense of officers and directors in "controlling person" litigation brought by individuals opting out of a class-wide settlement

Representation of individuals and companies in SEC formal investigations and informal inquiries of alleged securities fraud and insider trading

Defense of unauthorized trading and churning claims

Represented a Nasdaq-listed company in an international arbitration arising from naked short selling activity

CASES & DEALS

White and Williams Team Completes Complex Sale Leaseback of Seven Properties
4.15.21

White and Williams Assists Japanese IT Client in Cross-Border Joint Patent Application Agreement
11.12.20

White and Williams Represents Penn National Gaming in its Partnership with NASCAR
2.24.20

White and Williams Represents CRP Industries
10.8.19

White and Williams Represents Litigation Loan Servicing Company in \$9 Million Litigation Lien Portfolio Acquisition
6.5.19

White and Williams Represents GoodWest Industries in Its Acquisition of Skinny Mixes LLC
4.2.19

NEWS

Meredith Bieber Returns to White and Williams As Real Estate Finance Partner
8.31.22

Christopher Erb Joins White and Williams as Counsel in Philadelphia
2.8.22

Agatha Mingos Joins White and Williams as Partner in New York City
1.12.22

White and Williams Welcomes Ten New Associates
11.4.21

Jared Johnson Joins White and Williams as Partner in Philadelphia
8.26.21

Congratulations 2020 DE, MA, NY and PA Super Lawyers and Rising Stars
11.5.20

Bruce MacLennan Interviewed by *Private Equity Report* as Part of "People Moves" Section
Private Equity Law Report, 10.27.20

White and Williams Adds Two Counsel in New York City Office
8.7.20

White and Williams Sponsoring 21st Annual Angel Venture Fair
2.25.20

Congratulations 2019 DE, MA, NJ, NY and PA Super Lawyers and Rising Stars
10.17.19

White and Williams Sponsors PACT's Annual Capital Conference
7.29.19

White and Williams Sponsors M&A East
October 21-23, 2019

White and Williams Announces Lawyer Promotions
Six Lawyers Elected to Partnership and Four Associates Promoted to Counsel
1.2.19



White and Williams Sponsoring M&A East
October 24 - 25, 2018

Congratulations 2018 DE, MA, NJ, NY and PA Super Lawyers and Rising Stars
10.18.18

White and Williams Hosts NYC Healthy.Connect
9.25.18

White and Williams a Sponsor as PACT Capital Conference Celebrates 25 Years
8.27.18

Congratulations 2017 DE, MA, NJ, NY and PA Super Lawyers and Rising Stars
11.11.17

White and Williams Sponsoring M&A East 2017
October 3 - 4, 2017

White and Williams Sponsors MentorConnect
9.19.17

White and Williams Elects Four Lawyers to Partnership, Promotes Six Associates to Counsel
1.6.17

White and Williams Earns Tier 1 Rankings from U.S. News "Best Law Firms" 2017
11.1.16

Best Lawyers Recognizes Twelve White and Williams Lawyers
8.15.16

White and Williams Earns "Best Law Firm" Distinction by US News and World Report
11.3.15

Congratulations 2015 DE, MA, NJ, NY and PA Super Lawyers and Rising Stars
10.21.15

White and Williams Lawyers Recognized by *Best Lawyers*
8.17.15

PUBLICATIONS

FTC Announces Revised Hart-Scott-Rodino Notification Thresholds Will Go into Effect March 6, 2024
Corporate and Securities Client Alert, 3.5.24

Inflation Reduction Act
Tax and Corporate and Securities Alert, 9.20.22

Cryptocurrency Regulations and How They May Impact Private Investment Funds

Corporate and Securities Alert, 7.6.22

Securities and Exchange Commission's Environmental, Social and Governance Factors

Corporate and Securities Alert, 6.6.22

SCOTUS Decision on Autodialers Under TCPA Provides Handy Primer on Statutory Construction and Interpretation

Commercial Litigation Alert, 4.16.21

New Jersey – “Weeding” in the Garden State

Marijuana Reform Signed into Law

Corporate and Securities Alert, 3.22.21

The Delaware Supreme Court's *Murdock* Coverage Ruling is a Challenge for D&O Insurers

Financial Lines Alert, 3.5.21

Breaking Up (a Business) Is Hard to Do: How to Plan Ahead to Ease the Pain

Corporate and Securities Alert, 3.1.21

FTC Announces Revised HSR Thresholds, Pauses Early Termination

Corporate and Securities Alert, 2.9.21

When Actions Speak Louder Than Words: Implied Assumption of Debt of an Acquired Business

The Real Estate Finance Journal, 12.20

The Marijuana Opportunity Reinvestment and Expungement Act of 2019

Taking Care of Business, 12.8.20

Key Takeaways From “Buyer Beware: Issues and Strategies for Addressing Dangers in Acquiring Financially Distressed Companies”

11.20

Update on Cannabis Reform Introduced as a Response to the COVID-19 Crisis

Taking Care of Business, 10.19.20

Public Benefit Corporations and the ESG Movement

Taking Care of Business, 9.23.20

Recent Guidance on Employee Payroll Tax Deferral: Implementation Steps and Employer Discretion

Tax and Estates Alert, 9.3.20

Hidden Costs in Bargain Acquisitions of Businesses: Exposure to Fraudulent Transfer Claims

Commercial Litigation Alert, 8.18.20

Successor Liability in the Pandemic Era

Commercial Litigation Alert, 8.5.20

Businesses Should Strike the Proper Balance Between Their Desire for Management Autonomy With Sensitivity to Social Justice Issues

Taking Care of Business, 7.1.20

Cannabis Reform Introduced as a Response to the COVID-19 Crisis
Taking Care of Business, 6.18.20

M&A Wars Continued: Does the Seller Have an Exit Strategy?
Corporate and Securities Alert, 6.5.20

Southern District of New York Reaffirms That Syndicated Bank Loans Are Not Securities
Taking Care of Business, 6.1.20

Department of Labor Guidance Could Have Major Impact
Labor and Employment Alert, 5.13.20

Lenders Win in Eligibility Battle – Heightened PPP Eligibility Policies Allowed
Finance Alert, 4.22.20

M&A Litigation Rising Amidst COVID-19 Uncertainty: Considerations for Litigators and Deal-Makers
Commercial Litigation Alert, 4.10.20

The CARES Act Paycheck Protection Program: Special Considerations For Not-for-Profit Organizations
Corporate and Securities Alert, 4.2.20

Board of Directors Guidance When Addressing Emergency Circumstances Occasioned by the COVID-19 Pandemic
Taking Care of Business, 4.2.20

EPA to Relax Environmental Legal Enforcement During the COVID-19 Pandemic
Taking Care of Business, 3.31.20

M&A Representation and Warranty Insurance Considerations In The Wake Of The Coronavirus Pandemic
Taking Care of Business, 3.30.20

IRS Extends Certain Contribution Deadlines
Tax and Estates Alert, 3.25.20

Amid Coronavirus Pandemic and Declining Stock Prices, Public Companies Implement Poison Pills to Deter Corporate Raiders
Taking Care of Business, 3.24.20

Delaware Supreme Court Upholds Federal Forum Selection for Securities Act Claims
Taking Care of Business, 3.23.20

The Families First Coronavirus Response Act: An Analysis
Labor and Employment Alert, 3.20.20

HHS Finalizes New Rules Seeking Interoperability for Electronic Health Information
Taking Care of Business, 3.13.20

FTC Acts to Block Jefferson/Einstein Hospital Merger
Taking Care of Business, 3.2.20



Liquidity in Today's Economy

Taking Care of Business, 2.10.20

A Year Later: California's Corporate Diversity Initiative

Taking Care of Business, 1.24.20

Second Circuit: Insider Trading Conviction Does Not Require a Finding of Personal Benefit

Taking Care of Business, 1.13.20

Navigating the Path to a Successful Exit

Taking Care of Business, 11.21.19

Culture Shock: When Two Culturally-Different Enterprises Combine

Taking Care of Business, 11.12.19

US DOL Seeks to Clarify Fluctuating Workweek Pay Method

Taking Care of Business, 11.12.19

Delaware Court Of Chancery Extends MFW Framework to Board Decisions on Controlling Party Compensation

Taking Care of Business, 9.27.19

Signed, Sealed, Delivered, I'm (Not) Yours: How a Lapse in Record Keeping Can Lead to Non-Binding Contracts

Taking Care of Business, 8.29.19

Delaware Supreme Court Clarifies That a Response to a Books and Records Demand Is Not Presumptively Confidential

Taking Care of Business, 8.8.19

IRS Reduces Affordability Requirement for Employers' Healthcare Coverage

Taking Care of Business, 8.6.19

Third Circuit Decision Highlights Important Distinction Between Directors and Board Observers

Taking Care of Business, 7.26.19

FCC Proposes Pilot Program to Fund and Promote Telehealth in Underserved Communities

Taking Care of Business, 7.25.19

Evidence of Lack of Adequate Compliance Systems Sufficient to Plead Bad Faith by Board

Taking Care of Business, 7.1.19

Healthcare Executive Order Suggests Changes Are Coming

Taking Care of Business, 6.25.19

Delaware Chancery Court Addresses the Seller's Preservation of Privilege Post-Closing

Taking Care of Business, 6.18.19

Designing Tax Efficient Business Transactions

Taking Care of Business, 6.17.19

IRS Updates Employee Plans Compliance Resolution System

Taking Care of Business, 6.3.19

Cannabis and Cannabis-Derived Compounds: FDA Announces Public Hearing and TTB Issues Industry Circular

Taking Care of Business, 5.31.19

Termination of Buyback Option Not a Fraudulent Transfer

Taking Care of Business, 5.30.19

Delaware Chancery Court Relies Upon Judicial Dissolution Power to Break Management Deadlock

Taking Care of Business, 5.22.19

The Dangers of Copy and Paste: Using Corporate Statutory Language in an LLC May Result in Unintended Consequences

Taking Care of Business, 5.20.19

2019 Proposed Amendments to the Delaware General Corporation Law

Taking Care of Business, 4.23.19

Delaware Supreme Court Rejects the Trial Court's Statutory Appraisal Analysis in Aruba Networks

Taking Care of Business, 4.19.19

Delaware Chancery Court Opens Discussion of Enhanced-Independence Director Deference for Controller Transactions

Taking Care of Business, 4.15.19

JPM Coin and the Future of Commercial (and Maybe Consumer) Transactions

Taking Care of Business, 3.26.19

The Dangers of Transactional Precedent – The Times They Are-A-Changing

Taking Care of Business, 3.13.19

SEC Adopts New Hedging Disclosure Rules

Taking Care of Business, 1.21.19

Delaware Chancery Court Invalidates Charter Provisions Requiring Federal Forum Selection for Claims Under The Securities Act Of 1933

Taking Care of Business, 12.21.18

A Focus on Variable Interest Entities

Taking Care of Business, 12.17.18

Delaware Chancery Court Declines to Apply *Zapata*-Like Analysis to Deceptive Conduct Affiliated With an Independent Board

Taking Care of Business, 11.30.18

Insight and Analysis of California's New Gender-Specific Board Requirements

Taking Care of Business, 11.28.18

Delaware Chancery Court Provides Guidance on Prior Material Breach and Set-Offs

Taking Care of Business, 11.12.18

Opportunity Zone Tax Incentives: Significant Guidance from the IRS

Taking Care of Business, 11.5.18

Shareholders Agreements: Insurance for Entrepreneurs

Taking Care of Business, 10.22.18

Delaware Enforces Common Stockholder Contractual Waiver of Appraisal Rights

Taking Care of Business, 10.11.18

The Viability of Dually Direct and Derivative Claims Is Under Fire in Delaware Corporate Litigation

Taking Care of Business, 9.10.18

New Law Significantly Expands CFIUS Jurisdiction and Mandates Declaration to CFIUS for Certain Transactions

International Alert, 9.6.18

New York State Issues Model Sexual Harassment Prevention Policy and Training

Taking Care of Business, 8.29.18

The #MeToo Rep: M&A in the #MeToo Era

Taking Care of Business, 8.27.18

SEC Issues Final Rule Increasing the Rule 701 Disclosure Threshold and Requests Comments on Modernizing Compensatory Arrangements

Taking Care of Business, 8.17.18

Newly Passed Delaware LLC and LP Law Amendments Require Attention to Various Contractual Arrangements

Taking Care of Business, 8.7.18

Favorable Market Conditions Lead to Renewed Interest in SPACs

Taking Care of Business, 8.2.18

JOBS Act 3.0 Passes US House of Representatives

Taking Care of Business, 7.30.18

Delaware Supreme Court Offers a Cautionary Reminder on Disclosure Adequacy

Taking Care of Business, 7.26.18

Clever Lawyering Does Not Thwart Communications Decency Act Immunity

Taking Care of Business, 7.24.18

Delaware Court of Chancery Orders Investment Fund and Manager to Pay Over \$20 Million in Damages for Demise of Tech Company

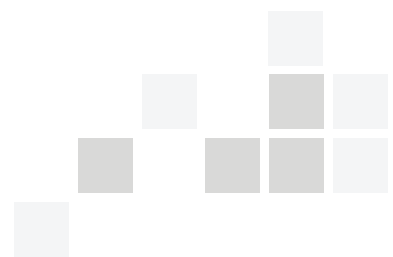
Taking Care of Business, 7.20.18

California Implements the Most Stringent Privacy Law in the United States: Will Businesses Be Affected?

Taking Care of Business, 7.13.18

Delaware Weighs in on Reformation of Contract for Misunderstanding as to Earn-Out

Taking Care of Business, 7.6.18



Delaware Seeks to Incentivize Start-Up Technology Companies to Headquarter in the First State

Taking Care of Business, 6.14.18

The Economic Growth, Regulatory Relief and Consumer Protection Act Amends a Key Provision of Rule 701

Taking Care of Business, 6.12.18

Batten Down the Boilerplate: Drafting Your Contracts in Uncertain Times

Taking Care of Business, 5.23.18

Supreme Court Opens the Door for States to Legalize Sports Betting

Taking Care of Business, 5.15.18

Utility Patents and the Sneaker Wars: Nike Alleges Infringement of Multi-Billion Dollar Technologies

Taking Care of Business, 5.9.18

PA Green Lights Dry Leaf Cannabis and Other Updates to Medical Marijuana Laws

Taking Care of Business, 4.25.18

US Department of Labor Issues Three New Opinion Letters After Nine-Year Hiatus

Taking Care of Business, 4.20.18

2018 Proposed Amendments to the Delaware General Corporation Law on Appraisal Rights and Ratification of Defective Acts

Taking Care of Business, 4.17.18

Technology, the New Frontier of National Security: Trump Blocks Broadcom's Proposed Takeover of Qualcomm

Taking Care of Business, 4.10.18

McConnell Set to Propose Legislation to Legalize Industrial Hemp

Taking Care of Business, 4.9.18

DC Circuit Court Rejects FCC's Expansive Definition of ATDS in Long-Awaited TCPA Decision

Taking Care of Business, 4.5.18

SEC Enforcement Action Shines Light on Equity-Based Compensation Disclosure Compliance of Private Companies

Taking Care of Business, 3.23.18

Financial Reporting for Foreign Private Issuers Before the SEC

Taking Care of Business, 3.16.18

SEC Issues Guidance to Cryptocurrency Exchanges

Taking Care of Business, 3.13.18

Delaware Chancery Court Clarifies That Section 144 Compliance Will Not Automatically Bestow Business Judgment Protection

Taking Care of Business, 3.6.18

Spotify Is Bypassing a Traditional IPO in Favor of Direct Listing. Should Other Companies Follow Its Lead?

Taking Care of Business, 3.1.18

New Jersey Adopts Series of Changes for Corporations

Taking Care of Business, 2.20.18

FTC Announces Annual Revisions to HSR Thresholds

Taking Care of Business, 2.6.18

SEC Halts Outright ICO Scam and Appoints Receiver

Taking Care of Business, 1.31.18

Recent Insights from the SEC Regarding Dodd-Frank Rulemaking and Initial Coin Offerings

Taking Care of Business, 1.31.18

The "Hungry Tapeworm" of Healthcare the New Joint Focus of Amazon, Berkshire Hathaway, JP Morgan

Healthcare Alert, 1.30.18

Drafting Tips: "Best Efforts" And Variations on the Theme Under Delaware Law

Taking Care of Business, 1.24.18

DOL Adopts Court Favored "Primary Beneficiary Test" For Internship Programs

Labor and Employment Alert, 1.9.18

A Disruptive Resolution for the New Year

Taking Care of Business, 1.5.18

Recent Bankruptcy Court Decision Reminds Lenders That Perfection of a Security Interest May Not Be Enough to Protect Funds in a Bank Account

Taking Care of Business, 1.3.18

Dell Reversal Welcome News for M&A Dealmakers

Taking Care of Business, 12.22.17

More Autonomy For Boards to Decide Whether a Shareholder Proposal Should Go on a Corporate Ballot? SEC's New Guidance on Shareholder Proposals Taken to Test

Taking Care of Business, 12.20.17

Harassment Can Happen Anywhere. Is Your Business Taking the Necessary Steps?

Taking Care of Business, 12.12.17

Healthcare Reform Progress or More Fits and Starts?

Healthcare Alert, 10.19.17

Fall 2017 Healthcare Update: If at First You Don't Succeed, Try, Try Again

Healthcare Alert, 9.21.17

Recent Ruling a Caveat to Private Equity Investors

Corporate and Securities Alert, 9.5.17



New York City Freelance Isn't Free Act Now in Effect
Labor and Employment Alert, 5.17.17

Evolution or Revolution: How Technology Partnerships Drive Value Based Care, Part 1
Healthcare Talks, 4.25.17

SEC Adopts JOBS Act Inflation Adjustments and Technical Amendments
Corporate and Securities Alert, 4.12.17

SEC Continues to Protect Against Use of Severance Agreements to Impede Whistleblowers
Corporate and Securities Alert, 12.20.16

Employers Beware: New Developments Require Changes in Employment Agreements
Corporate and Securities Alert, 8.23.16

Foreign Corporation Shareholders Now Liable for Unpaid Wages in New York
Corporate and Securities Alert, 2.9.16

EVENTS

Islamic Law and Finance in the US Tax System
American Academy of Attorney-CPAs' Greater Philadelphia/Delaware Valley Chapter, 3.16.22

Tax and Accounting Issues in Mergers and Acquisitions
American Academy of Attorney-CPAs' Greater Philadelphia/Delaware Valley Chapter, 11.17.21

US-China Relations Under the Biden Administration
The World Trade Center of Greater Philadelphia (Virtual Meeting), 2.18.21

Buyer Beware: Issues and Strategies for Addressing Dangers in Acquiring Financially Distressed Companies
Webinar, 11.12.20

White and Williams Sponsors M&A East 2020
October 26-28, 2020

The New Wave of Securities Litigation
Placing You First Insurance Podcast by CRC Group, 5.29.20

Get Answers to Your SBA Loan Questions
CIANJ Webinar, 5.18.20

Update on SBA Loans With CIANJ Member Experts & Congressman Josh Gottheimer
Webinar, 4.13.20

CARES Act Synopsis for Insurance Brokers
Webinar, 4.9.20



Innovators and Investors: The Journey From Entry to Exit
Life Sciences Collaborative (Philadelphia, PA), 3.3.20

8th Annual Snake Pit: How to Pitch to Investors and What Are They Looking For
The Private Investor's Forum (Philadelphia, PA), 12.12.19

Marijuana Business Law in New Jersey
National Business Institute (Mount Laurel, NJ), 12.6.19

Opus Connect: Philadelphia Deal Sourcing Roundtable
White and Williams LLP (Philadelphia, PA), 10.2.19

What Your Business Owner Clients Need to Know
White and Williams CLE, 4.29.19

Marijuana Business Law in New Jersey
National Business Institute (Cherry Hill, NJ), 12.10.18

Policy, Deals and Disclosure: A Lawyer's Role in Managing Security and Data
ACC Westchester/SCT (Purchase, NY), 6.12.18

Legal Insights on Capital Raising
Angel Venture Fair Education Day (Philadelphia, PA), 2.15.18

Venture Capital, 'Term Sheets' and a Road Map of the Material Terms of a Transaction
Finance & Accounting for Bioscience Companies (New Brunswick, NJ), 9.27.17

Why Invest in Philadelphia Now? - Converting Capital Risk to Success Stories
University of the Sciences (Philadelphia, PA), 3.7.17

Show Me the Money: Evaluating and Quantifying Liquidity Options for Owners of Privately Held Businesses
Philadelphia Country Club (Gladwynne, PA), 11.29.16

Know Your Assets Value: Creating Value, Leveraging Your IP and Funding Options for Your Company
Licensing Executives Society, Philadelphia Chapter, 9.27.16

Employment Law Seminar
Philadelphia, PA, 5.20.15

Digital Health Workshop
University of Pennsylvania, 3.19.15

Achievement Beyond Regulatory Approval - Design for Commercial Success
University of the Sciences (Philadelphia, PA), 3.3.15

